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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF EXPRESS MAILING

Atty Dkt No.: SRI1P022/US-4076-2

First Named Inventor: Pelrine

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by certify that this paper and the documents and/or fees referred to as  
therein are being deposited with the United States Postal Service  
in an envelope as "Express Mail Post Office to Addressee"  
under 37 CFR §1.10, Mailing Label Number **EL560312874US**,  
addressed to the Assistant Commissioner for Patents, Washington, DC

*Dionna Holmes*  
Dionna Holmes

JC857 U.S. PTO  
09/619848  
07/20/00

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Continuation-in-part application Claiming Priority from a Provisional)

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Ronald E. Pelrine, Roy D. Kornbluh, Pablo E. Garcia, and Joseph Stephen Eckerle**

For: **ELECTROACTIVE POLYMER GENERATORS**

This application is a ☒ Continuation ☐ Divisional ☒ Continuation-in-part

of prior Application No.: **09/356,801**, under 35 U.S.C. §120; which claims priority from **PCT/US98/02311**,  
filed February 2, 1998, which claims priority to U.S. Provisional Application No. **60/037,400**, filed February  
7, 1997. This application also claims Priority under 35 U.S.C. § 119(e) from co-pending U.S. Provisional  
Application No. **60/144,556** filed on **July 20, 1999** is claimed; and from co-pending U.S. Provisional Patent  
Application No. **60/153,329**, filed **September 10, 1999**; and from co-pending U.S. Provisional Patent  
Application No. **60/161,325**, filed **October 25, 1999**; and from co-pending U.S. Provisional Patent  
Application No. **60/181,404**, filed **February 9, 2000**; and from co-pending U.S. Provisional Patent  
Application No. **60/187,809**, filed **March 8, 2000**; and from co-pending U.S. Provisional Patent Application  
No. **60/192,237**, filed **March 27, 2000**; and from co-pending **60/184,217**, filed **February 23, 2000**

Application Elements:

- ☒ 54 Pages of Specification, Claims and Abstract  
☒ 15 Sheets of **informal** Drawings  
☒ 03 Combined Declaration and Power of Attorney – unexecuted  
☐ Newly executed  
☐ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
 The entire disclosure of the prior application from which a copy of the declaration is  
 herein supplied is considered as being part of the disclosure of the accompanying  
 application and is hereby incorporated by reference therein.  
☐ Deletion of inventors Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Power of Attorney
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
- ☒ Other: This application was made in part with government support under contract number DAAG55-98-K-001 awarded by the United States Army Research Office and Defense Advanced Research Project Agency. The government has certain rights in the invention.

Claim For Foreign Priority

- ☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.
- ☐ The certified copy has been filed in prior application U.S. Application No. \_\_\_\_\_
- ☐ The certified copy will follow.

Extension of Time for Prior Pending Application

- ☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

Amendments

- ☐ Amend the specification by inserting before the first line the sentence: "This is a
- ☐ Continuation ☐ Continuation-in-part ☐ Divisional application of copending prior
- ☐ Application No. \_\_\_\_\_ filed on \_\_\_\_\_,
- ☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_ which designated the United States, the disclosure of which is incorporated herein by reference."
- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

|   | (Col. 1)<br>NO. FILED     | (Col. 2)<br>NO. EXTRA | SMALL ENTITY<br>RATE FEE | OR | LARGE ENTITY<br>RATE FEE |
|---|---------------------------|-----------------------|--------------------------|----|--------------------------|
| BASIC FEE   |                           |                       | \$345 \$                 | OR | \$690 \$690              |
| TOTAL CLAIMS  | <u>36</u> -20 = <u>16</u> |                       | x09 = \$                 | OR | x18 = \$288              |
| INDEP CLAIMS  | <u>05</u> -03 = <u>02</u> |                       | x39 = \$                 | OR | x78 = \$156              |
| [ ] Multiple Dependent Claim Presented                                |                           |                       | \$130 = \$               | OR | \$260 = \$               |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. |                           |                       | Total \$                 | OR | Total \$1134             |

☒ **PLEASE DEFER FILING FEES AT THIS TIME.**

☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0388 (Order No. SRI1P022).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. SRI1P022).

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Date: July 20, 2000

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